

ERRATUM TO “ p -TORSION MONODROMY REPRESENTATIONS OF ELLIPTIC CURVES OVER GEOMETRIC FUNCTION FIELDS”

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ABSTRACT. We correct two errors in [3]—in fact, both errors are erroneous simplifications of correct arguments that appeared in the original preprint [2]. We supply these arguments here. The main result is unaffected.

1. Summary of errors. The modular surface $Z(p) := \mathrm{PSL}_2(\mathbb{F}_p) \backslash X(p) \times X(p)$ obtained by taking the quotient of the product $X(p) \times X(p)$ of (compactified) full level modular curves by the diagonal action on the framing parametrizes triples (E_1, E_2, ϕ) where E_1, E_2 is a pair of elliptic curves and $\phi : E_1[p] \xrightarrow{\cong} E_2[p]$ an isomorphism of their p -torsion. The surface $Z(p)$ naturally contains Hecke curves for which the isomorphism is induced by an isogeny. The main theorem of [3] is that for any d , and for sufficiently large p (depending on d) any curve of gonality d on $Z(p)$ is a Hecke curve.

The proof of the main theorem proceeds by passing to the associated rational curve $\mathbb{P}^1 \rightarrow \mathrm{Sym}^d Z(p)$, and then to the cover $\pi : C \rightarrow \mathbb{P}^1$ which admits a map $C \rightarrow (X(p) \times X(p))^d$. It is then shown that the incidence of C along the ramification locus of the quotient $(X(p) \times X(p))^d \rightarrow \mathrm{Sym}^d Z(p)$ is small compared to the volume provided C is not a Hecke curve. There are four kinds of ramification loci: ramification arising from the quotient $X(p) \times X(p) \rightarrow Z(p)$, and ramification arising from the quotient $Z(p)^d \rightarrow \mathrm{Sym}^d Z(p)$. The former consists of Heegner and anti-Heegner CM points on $X(p) \times X(p)$, which live above the points with j -invariants $(0, 0)$ and $(1728, 1728)$ of $X(1) \times X(1)$, and singular bicusps of $X(p) \times X(p)$, which live above the cusp (∞, ∞) ; the latter is reduced to the diagonal in $(X(p) \times X(p))^2$. We denote by CM^+ (resp. CM^-) the set of Heegner (resp. anti-Heegner) CM points and by SBC the set of singular bicusps.

There are two errors:

- [3, Prop. 14] states that CM points of the same type with the same projection to $X(1) \times X(1)$ which are close to each other lie on a low-degree Hecke curve. As anti-Heegner CM points cannot lie on Hecke curves, this in particular implies anti-Heegner CM points always repel (see [3, Remark 16]). This is false: the real analytic involution which conjugates the second factor preserves the hyperbolic metric and swaps Heegner and anti-Heegner CM points. Close anti-Heegner CM points will however lie on low degree conjugate Hecke curves, and this is what was used in the original argument (as well as in [1]).
- The proof of [3, Prop. 26] concludes with $\mathrm{mult}_{\mathrm{SBC}}(C) \ll p^{-1+\delta} \mathrm{Deg}(C)$, but we need $\mathrm{mult}_{\mathrm{SBC}}(C) \ll p^{-1-\delta} \mathrm{Deg}(C)$. A slightly more careful analysis of singular bicusps lying on low degree Hecke curves must be used, which again appears in the original preprint.

2. Repulsion of CM points. The correct version of [3, Prop. 14] is the same statement, but only for Heegner CM points.

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Proposition 2.1 (cf. [2, Prop. 15], [1, Prop. 8]). *For all sufficiently small $\delta > 0$ and sufficiently large p we have the following. Let $\xi, \xi' \in \text{CM}^+$ be distinct Heegner CM points in $X(p) \times X(p)$ with the same projections to $X(1) \times X(1)$ such that $B(\xi, \delta\rho_{X(p)}) \cap B(\xi', \delta\rho_{X(p)}) \neq \emptyset$. Then ξ and ξ' lie on a Hecke divisor T_m with $m = p^{O(\delta)}$.*

The proof is the same taking $h_0 = 1$ (which is the Heegner CM point case). Let $\eta \in X(p)$ be the image of $i \in \mathbb{H}$ or $e^{i\pi/3} \in \mathbb{H}$, H its stabilizer in $\text{PSL}_2(\mathbb{F}_p)$. In general there does not exist $h_0 \in \text{SL}_2(\mathbb{Z})$ reducing to the non-identity coset of H in the normalizer $N(H)$, and this is the error.

3. Relative volume estimates for the conjugate diagonal. As described above, to handle anti-Heegner CM points we need a bound on the volume acquired by a curve near the conjugate diagonal. Recall that we denote by $a(r)$ the area of a 1-dimensional hyperbolic disk of radius r ; normalizing the metric to have holomorphic sectional curvature -1 , we have $a(r) = 4\pi \sinh^2(r/2)$.

Proposition 3.1 (cf. [2, Prop. 26], [1, Prop. 11]). *Let X be a compact hyperbolic complex curve with constant holomorphic sectional curvature, $\bar{\Delta} \subset X \times \bar{X}$ the conjugate diagonal, and $C \subset X \times \bar{X}$ a complex curve. Then for $r < \rho_X/2$,*

$$\frac{1}{a(2r)^{1/2}} \text{vol}(C \cap B(\bar{\Delta}, r))$$

is an increasing function of r .

Proof. The proof is very similar to [3, Prop. 23]. Consider the function ψ on $\mathbb{D} \times \mathbb{D}$ given by

$$\psi(z, w) = \tanh^2(d_{\mathbb{D}}(z, \bar{w})/2) = \left| \frac{\bar{w} - z}{1 - zw} \right|^2.$$

ψ is invariant under the conjugate diagonal action and descends to $B(\bar{\Delta}, r)$ provided $r < \rho_X/2$. For any function $h : \mathbb{R} \rightarrow \mathbb{R}$, we compute that at $(0, w)$, the potential $h \circ \psi$ yields a form

$$i\partial\bar{\partial}(h \circ \psi) = h'(\psi) \begin{pmatrix} (1 - |w|^2)^2 & w^2 \\ \bar{w}^2 & 1 \end{pmatrix} + h''(\psi) \begin{pmatrix} |w|^2(1 - |w|^2)^2 & -w^2(1 - |w|^2) \\ -\bar{w}^2(1 - |w|^2) & |w|^2 \end{pmatrix}.$$

Taking $f(s) = -\log(1 - s)$, for instance, we have by direct computation

$$i\partial\bar{\partial}(f \circ \psi) = \begin{pmatrix} 1 & 0 \\ 0 & (1 - |w|^2)^{-2} \end{pmatrix} = \frac{\omega_{\mathbb{D} \times \mathbb{D}}}{2}.$$

Note that $f(\tanh^2(d/2)) = \log \cosh^2(d/2)$. Taking $g(s) = 2 \sin^{-1} \sqrt{1 - e^{-s}}$ then $(g \circ f)(s) = 2 \sin^{-1} \sqrt{s}$ and we compute

$$(g \circ f)'(s) = \frac{1}{s^{1/2}(1 - s)^{1/2}}$$

$$(g \circ f)''(s) = \frac{2s - 1}{2s^{3/2}(1 - s)^{3/2}}$$

which by the above yields

$$i\partial\bar{\partial}(g \circ f \circ \psi) \geq 0.$$

By Stokes’ theorem,

$$\begin{aligned} \text{vol}(C \cap B(\bar{\Delta}, r)) &= 2 \int_{C \cap B(\bar{\Delta}, r)} i\partial\bar{\partial}(f \circ \psi) \\ &= \frac{2}{g'(\log \cosh^2(r))} \int_{C \cap B(\bar{\Delta}, r)} i\partial\bar{\partial}(g \circ f \circ \psi) \\ &= 2 \sinh(r) \int_{C \cap B(\bar{\Delta}, r)} i\partial\bar{\partial}(g \circ f \circ \psi) \end{aligned}$$

and therefore $\frac{1}{\sinh(r)} \text{vol}(C \cap B(\bar{\Delta}, r))$ is an increasing function. \square

4. Multiplicity estimates. Recall that we denote by $\text{Deg}(C)$ the degree of $C \subset X(p) \times X(p)$ with respect to the canonical bundle, which up to an absolute constant is equal to $\text{vol}(C)$.

4.1. *Anti-Heegner CM points.* [3, Prop. 25] is still correct, but the proof as written only applies to Heegner CM points. We use Proposition 3.1 (as in [1]) instead:

Proposition 4.1 (cf. [2, Prop. 28], [1, Prop. 13]). *For all sufficiently small $\delta > 0$, all sufficiently large p , and for any non-Hecke curve $C \subset X(p) \times X(p)$,*

$$\text{mult}_{\text{CM}^-}(C) = O(p^{-\delta} \text{Deg}(C)).$$

Proof. Take $d = p^{\delta_1}$. We would like to perform the same trick as in [3, Prop. 25] for the anti-Heegner CM points, and we again partition the points of CM^- into

$$T = \text{CM}^- \cap \cup_{m < d} \bar{T}_m \quad \text{and} \quad S = \text{CM}^- - T$$

where $\bar{\cdot}$ denotes complex conjugation on the second factor. For sufficiently small $\delta_2 > 0$, it will still be the case that balls of radius $\delta_2 \rho_{X(p)}$ around points of S are disjoint, but the multiplicity of a curve C along \bar{T}_m doesn’t make sense, so we adjust the argument slightly:

$$\begin{aligned} \text{mult}_{\text{CM}^-}(C) &= \text{mult}_S(C) + \text{mult}_T(C) \\ &\ll \frac{1}{a(\delta_2 \rho_{X(p)})} \sum_{\xi \in S} \text{vol}(C \cap B(\xi, \delta_2 \rho_{X(p)})) + \sum_{m < d} \text{mult}_{\text{CM}^- \cap \bar{T}_m}(C) \\ (1.1) \quad &\ll p^{-2\delta_2} \text{Deg}(C) + \sum_{m < d} \text{mult}_{\text{CM}^- \cap \bar{\Delta}}(T_m^* C). \end{aligned}$$

Balls of a fixed small radius $\epsilon > 0$ ($\epsilon = \frac{1}{2} d_{X(1)_p}(q_2, q_3)$ is sufficient) around points in $\text{CM}^- \cap \bar{\Delta}$ are disjoint, and therefore by the theorem of Hwang–To [3, Thm. 19] we have

$$\begin{aligned} \text{mult}_{\text{CM}^- \cap \bar{\Delta}}(T_m^* C) &\ll \frac{1}{a(\epsilon)} \sum_{\xi \in \text{CM}^- \cap \bar{\Delta}} \text{vol}(T_m^* C \cap B(\xi, \epsilon)) \\ &\ll \text{vol}(T_m^* C \cap B(\bar{\Delta}, \epsilon)) \\ &\ll \frac{\sinh(\rho_{X(p)}/2)}{\sinh(\epsilon)} \text{vol}(T_m^* C) \end{aligned}$$

where we’ve used Proposition 3.1 (and [3, Cor. 8]) in the last step. Combining this with equation (1.1), we have

$$\text{mult}_{\text{CM}^-}(C) \ll (p^{-2\delta_2} + d^3 p^{-1}) \text{Deg}(C)$$

and the result follows. \square

4.2. *Singular bicusps.* The statement of [3, Prop. 26] is correct, but the proof is incomplete.

Proposition 4.2 (cf. [2, Prop. 29]). *For all sufficiently small $\delta > 0$, all sufficiently large p , and for any non-Hecke curve $C \subset X(p) \times X(p)$,*

$$p \text{mult}_{\text{SBC}}(C) = O(p^{-\delta} \text{Deg}(C)).$$

Proof. Take $d = p^{\delta_1}$, and again partition the points of SBC into

$$T = \text{SBC} \cap \cup_{m < d} T_m \quad \text{and} \quad S = \text{SBC} - T.$$

By [3, Prop. 17], for δ_2 a sufficiently small multiple of δ_1 , any point in $X(p) \times X(p)$ is in at most two of the balls $B(\xi, (1/2 + \delta_2)\rho_{X(p)})$ for $\xi \in S$. By [3, Thm. 19(a)], for each $\xi \in \text{SBC}$,

$$\text{mult}_{\xi}(C) \ll \frac{1}{a((1/2 + \delta_2)\rho_{X(p)})} \text{vol}(C \cap B(\xi, (1/2 + \delta_2)\rho_{X(p)}))$$

and it therefore follows that

$$(1.2) \quad \begin{aligned} \text{mult}_S(C) &\ll \frac{\text{vol}(C)}{a((1/2 + \delta_2)\rho_{X(p)})} \\ &\ll p^{-1-2\delta_2} \text{Deg}(C). \end{aligned}$$

Now for any $m < d$, and sufficiently small $\delta_3 > 0$ (independent of δ_1 and δ_2),

$$\begin{aligned} \text{mult}_{\text{SBC} \cap T_m}(C) &= \sum_{\xi \in \text{SBC} \cap T_m} \text{mult}_{\xi}(C) \\ &\ll \sum_{\xi \in \Delta \cap \text{SBC}} \text{mult}_{\xi}(T_m^* C) \\ &\ll \frac{1}{a((1/2 + \delta_3)\rho_{X(p)})} \sum_{\xi \in \Delta \cap \text{SBC}} \text{vol}(T_m^* C \cap B(\xi, (1/2 + \delta_3)\rho_{X(p)})). \end{aligned}$$

By [3, Prop. 12(b)], any point within $(1/2 + \delta_3)\rho_{X(p)}$ of two distinct singular bicusps on Δ must be within $\delta_3\rho_{X(p)} + O(1)$ of Δ , so by [3, Thm. 19(b)]

$$\begin{aligned} \text{mult}_{\text{SBC} \cap T_m}(C) &\ll \frac{d^2 \cdot \text{vol}(C)}{a((1/2 + \delta_3)\rho_{X(p)})} + \frac{\text{vol}(T_m^* C \cap B(\Delta, \delta_3\rho_{X(p)} + O(1)))}{a((1/2 + \delta_3)\rho_{X(p)})} \\ &\ll \frac{d^2 \cdot \text{vol}(C)}{a((1/2 + \delta_3)\rho_{X(p)})} \cdot \left(1 + \frac{\cosh(\delta_3\rho_{X(p)} + O(1))}{\cosh(\rho_{X(p)}/2)}\right) \\ &\ll p^{-1-2\delta_3+2\delta_1} \text{Deg}(C) \end{aligned}$$

where the second inequality follows from [3, Prop. 23]. Thus,

$$\text{mult}_T(C) \ll p^{-1-2\delta_3+3\delta_1} \text{Deg}(C).$$

Combining this with equation (1.2) yields the claim. \square

5. **Finishing up.** All remaining statements and their proofs from [3] are correct as written.

REFERENCES

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