

CM points have everywhere good reduction

Benjamin Bakker, Jacob Tsimerman

October 5, 2024

Abstract

We prove that for every Shimura variety S , there is an integral model \mathcal{S} such that all CM points of S have good reduction with respect to \mathcal{S} . In other words, every CM point is contained in $\mathcal{S}(\overline{\mathbb{Z}})$. This follows from a stronger local result wherein we characterize the points of S with potentially-good reduction (with respect to some auxiliary prime ℓ) as being those that extend to integral points of \mathcal{S} .

1 Introduction

The Néron-Ogg-Shafarevich criterion [8] says that for an abelian variety over \mathbb{Q} , one can detect (potentially) good reduction at a prime p , by looking at whether the representation on its ℓ -adic Tate module is (potentially) unramified, for $\ell \neq p$. One can reinterpret this result as being purely about the moduli space of (principally polarized) abelian varieties \mathcal{A}_g and the \mathbb{Z}_ℓ -adic local system \mathcal{L} on it corresponding to the universal Tate module: *A point $x \in \mathcal{A}_g(\mathbb{Q}_p)$ is in the image of $\mathcal{A}_g(\mathbb{Z}_p)$ iff the $\mathrm{Gal}_{\mathbb{Q}_p}$ -representation \mathcal{L}_x is unramified.*

Our goal is to generalize this result to Shimura varieties which are not of abelian-type. One case of particular interest is that of CM points, and we record the following theorem:

Theorem 1. *Let $S = S_K(G, X)$ be a Shimura variety over $E = E(G, X)$. There is an integral model \mathcal{S} over \mathcal{O}_E for S such that every CM point of $S(\overline{\mathbb{Q}})$ extends to a point of $\mathcal{S}(\overline{\mathbb{Z}})$.*

Note that in [7] this was established away from finitely many ‘bad’ primes, and the contribution of this note is to handle precisely that bad set.

This result is a consequence of the more precise result below. Let V be a faithful \mathbb{Q} -representation of G^{ad} , and ${}_{\mathrm{et}}V_\ell$ the corresponding ℓ -adic local system. Let $v \nmid \ell$ be a finite place of E . For a local field $F \subset \overline{E}_v$ We say a point $x \in S(F)$ is ℓ -*potentially unramified* if the Galois representation ${}_{\mathrm{et}}V_{\ell,x}$ is potentially unramified. Let $S_v^{\ell-\mathrm{pun}} \subset S(\overline{E}_v)$ denote the set of potentially unramified points.

Theorem 2. *Let $S = S_K(G, X)$ be a Shimura variety over $E = E(G, X)$, and fix a prime number ℓ . There exists an integral model \mathcal{S} over \mathcal{O}_E such that for each finite place $v \nmid \ell$ of E , we have that*

$$S_v^{\ell-\mathrm{pun}} = \mathcal{S}(\mathcal{O}_{\overline{E}_v}).$$

Moreover, if ${}_{\mathrm{et}}V_\ell$ has torsion-free monodromy group, one may pick \mathcal{S} so that ${}_{\mathrm{et}}V_\ell$ extends to \mathcal{S} .

Remark 1.1. 1. If the monodromy group is not torsion-free then by our arguments one may still construct a Deligne-Mumford stack \mathcal{S} compactifying S so that ${}_{\mathrm{et}}V_\ell$ extends.

2. It seems reasonable to conjecture that there is a model which works independently of ℓ —indeed, this is true for ‘good’ primes v , as we show below. It is the finitely many ‘bad’ primes at which S does not have a log-smooth model that we cannot show this.

3. Indeed, even for proper Shimura varieties S , at ‘bad’ finite places v we cannot show that the ${}_{\text{et}}V_{\ell,x}$ are potentially unramified for all $x \in S(E_v)$. Note that this would not follow simply from the existence of an appropriate motivic family over S , since such a family might a-priori have bad reduction at v .
4. The proof of Theorem 2 applies verbatim to any smooth variety S/E with a \mathbb{Z}_ℓ -local system \mathcal{L} which is non-extendable (or maximal) in the sense of [3, Def 3.1], and such that \mathcal{L}_x is almost everywhere unramified for some (hence any) $x \in S(\overline{E})$. In particular, the latter condition holds for any local system of geometric origin.

1.1 Acknowledgements

Both authors benefited from many conversations with Ananth Shankar. B. B. was partially supported by NSF grants DMS-2131688 and DMS-2401383.

2 Background

Let \mathcal{O} be a mixed characteristic p DVR with fraction field K and residue field k . Let $\mathcal{X}, \mathcal{X}'/\mathcal{O}$ be reduced separated schemes which are flat and finite type over \mathcal{O} . For an open subset $U \subset \mathcal{X}$, a U -admissible modification $\mathcal{X}' \rightarrow \mathcal{X}$ (over \mathcal{O}) is proper morphism which is an isomorphism over U . If U is the generic fiber, we simply refer to admissible modifications.

Let X/K be a variety. A partial compactification for X over \mathcal{O} is a reduced separated scheme \mathcal{X} , flat and finite type over \mathcal{O} and a dense open embedding $X \subset \mathcal{X}$ of \mathcal{O} -schemes. If $\mathcal{X}_K \cong X$ we say \mathcal{X} is an integral model of X ; if $\mathcal{X} \rightarrow \text{Spec } \mathcal{O}$ is proper, we say \mathcal{X} is a compactification over \mathcal{O} .

If R is a Dedekind domain with fraction field K , we say that a finite type \mathcal{X}/R is an integral model of X if it is over every prime ideal of R .

2.1 Chow’s lemma

We shall require the following version of Chow’s lemma:

Lemma 2.1. *Let X/K be a quasiprojective variety and \mathcal{X} a compactification over \mathcal{O} . Then there exists a flat projective $f' : \mathcal{X}' \rightarrow \text{Spec } \mathcal{O}$ and an X -admissible modification $\psi : \mathcal{X}' \rightarrow \mathcal{X}$ over \mathcal{O} .*

Proof. This is essentially [9, Tag 088R]. In the notation of that lemma, we let $Y = \text{Spec } \mathcal{O}, U = X$. Applying the lemma, we obtain a commutative diagram of $\text{Spec } \mathcal{O}$ schemes:

$$\begin{array}{ccccc}
 & & X & & \\
 & \swarrow & \downarrow & \searrow & \\
 \mathcal{X} & \xleftarrow{f} & \mathcal{X}' & \xrightarrow{\phi} & \mathcal{Z}' & \xrightarrow{g} & \mathcal{Z}
 \end{array}$$

where the maps from X are open immersions, f is an X -admissible modification, ϕ is an open immersion, g is an X -admissible modification and \mathcal{Z} is a projective $\text{Spec } \mathcal{O}$ scheme.

Since blowups are projective, it follows that \mathcal{Z}' is projective over $\text{Spec } \mathcal{O}$, and since \mathcal{X}' is proper and ϕ is an open immersion it follows that ϕ is an isomorphism onto a closed subscheme of \mathcal{Z}' , and hence \mathcal{X}' is also projective over $\text{Spec } \mathcal{O}$. □

2.2 Integral models

Lemma 2.2. *Let \mathcal{O}'/\mathcal{O} be an étale extension of DVRs, and X/K , X'/K' normal quasiprojective varieties with an étale morphism $f : X' \rightarrow X$ over $\text{Spec } K' \rightarrow \text{Spec } K$. Let $U \subset X$ be the subset over which f is finite étale and $U' := f^{-1}(U)$. Then for any compactification \mathcal{X}'_0 of X' over \mathcal{O}' , there is an U' -admissible modification $\mathcal{X}' \rightarrow \mathcal{X}'_0$ and a projective compactification \mathcal{X} of U over \mathcal{O} fitting into the commutative diagram below.*

$$\begin{array}{ccccc}
 U' & \xrightarrow{\quad} & U & & \\
 \downarrow & \searrow & \downarrow & \searrow & \\
 X' & \xrightarrow{\quad f \quad} & X & & \\
 \downarrow & \searrow & \downarrow & \searrow & \\
 \mathcal{X}' & \xrightarrow{\quad g \quad} & \mathcal{X} & & \\
 \downarrow & & \downarrow & & \downarrow \\
 \text{Spec } K' & \xrightarrow{\quad} & \text{Spec } K & & \\
 \searrow & & \searrow & & \searrow \\
 & \text{Spec } \mathcal{O}' & \xrightarrow{\quad} & \text{Spec } \mathcal{O} &
 \end{array}$$

Moreover, g is a quotient by a finite group action.

Proof. Let X''/K'' and $\mathcal{X}''_0/\mathcal{O}''$ be the normalizations of X' and \mathcal{X}'_0 in the Galois closure of the function field extension of $X' \rightarrow X$, G the Galois group, and U'' the preimage of U' . By Lemma 2.1, up to replacing \mathcal{X}''_0 with a U'' -admissible modification we may assume \mathcal{X}''_0 is quasiprojective. Let $\mathcal{X}''_0{}^G$ be the G -fold fiber product of \mathcal{X}''_0 over \mathcal{O}'' equipped with the G -action by permuting factors. Letting $\mathcal{X}'' \subset \mathcal{X}''_0{}^G$ be the closure of the natural G -equivariant embedding $U'' \rightarrow \mathcal{X}''_0{}^G$, any projection $\mathcal{X}'' \rightarrow \mathcal{X}''_0$ is a U'' -admissible modification. Letting \mathcal{X} (resp. \mathcal{X}') be the quotient of \mathcal{X}'' by G (resp. the Galois group of X''/X'), we obtain the required \mathcal{X} (resp. \mathcal{X}'). \square

Corollary 2.3. *Let $\hat{\mathcal{O}}$ be the completion of \mathcal{O} with fraction field \hat{K} , X/K a quasiprojective variety, and \hat{X} an integral model of $X_{\hat{K}}$. Then there exists a quasiprojective model \mathcal{X} of X and an admissible morphism $\mathcal{X}_{\hat{\mathcal{O}}} \rightarrow \hat{X}$.*

Proof. By [10, Claim 3.1.3.1] the model \hat{X} descends canonically to a model \mathcal{X}'_0 of $X_{K'}$ defined over an étale DVR extension \mathcal{O}'/\mathcal{O} . Now apply Lemma 2.2. \square

Now assume that K is a local field. Let $D \subset X$ be a closed subscheme. We let X^{an} denote the Berkovich space [1] associated to X through analytification and let \mathcal{X} denote an integral model of X .

The following is almost certainly well known, but we could not find a reference so provide our own proof:

Lemma 2.4. *Assume \mathcal{X}/\mathcal{O} is proper. For any neighborhood U of D^{an} , there exists an admissible modification $\mathcal{Y} \rightarrow \mathcal{X}$ such that if \mathcal{D} is the closure of D in \mathcal{Y} , then the complement U^c is contained in the rigid fiber of $\mathcal{Y} \setminus \mathcal{D}$.*

Proof. Setting $\mathcal{X} = \mathcal{X}_0$, we form a tower of models $\pi_{n+1} : \mathcal{X}_{n+1} \rightarrow \mathcal{X}$ inductively, by letting D_n be the closure of D in \mathcal{X}_n and blowing up the special fiber $(D_n)_k$. We claim that for large enough n , the model \mathcal{X}_n suffices. To prove this, it is sufficient to work locally on \mathcal{X} , so we consider an affine open subset $\text{Spec } R \subset \mathcal{X}$ where $D \cap \text{Spec } R$ has defining ideal (f_1, \dots, f_n) .

Inductively then, $\mathcal{D}_m \cap \pi_m^{-1} \text{Spec } R$ lies in a single affine chart, and is cut out by $(\frac{f_1}{\pi_K^m}, \dots, \frac{f_n}{\pi_K^m})$, and so a valuation $w \in (\text{Spec } R)^{\text{rig}}$ maps to \mathcal{D}_m iff

$$\max_i |f_i(Q)|_w < |\pi_K^m|.$$

For a sufficiently large n this lies within our tube U by continuity, completing the proof. \square

2.3 Local systems

We refer to [6, 5] for background on Shimura varieties.

Let (G, X) be a Shimura datum satisfying the axioms in [6], and $S = S_K(G, X)$ be a Shimura variety with reflex field $E = E(G, X)$. Let V be a faithful \mathbb{Q} -representation of G^{ad} , and $\mathbb{V} \subset V$ be a K -stable lattice. For a prime number ℓ , we let ${}_{\text{et}}\mathbb{V}_\ell$ denote the corresponding \mathbb{Z}_ℓ -local system. Furthermore, there exists a natural \mathbb{Z} -local system ${}_B\mathbb{V}$ on $S(\mathbb{C})$ underlying a variation of Hodge structures, with proper corresponding period map. In other words, if \bar{S} is a log-smooth compactification of $S = S_K(G, X)$, then V has infinite monodromy around every irreducible component of $D := \bar{S} \setminus S$ (see [4, Theorem 9.5]).

We shall require the following lemma:

Lemma 2.5. *Let \mathcal{L} be a local system underlying a VHS on a smooth complex variety X with log-smooth compactification $\bar{X} \setminus X$, and assume the monodromy around each boundary divisor is unipotent. Let $Q \in \bar{X}$ and let F_1, \dots, F_m be the irreducible divisors of $D := \bar{X} \setminus X$ containing Q . Let $q \in X$ be an infinitesimally nearby point to Q and consider the monodromy elements $\sigma_1, \dots, \sigma_m \in \pi_1(X, q)$ corresponding to the simple loops around F_1, \dots, F_m . Then there is no nontrivial product $\prod_i \sigma_i^{\mathbb{Z}_{\geq 0}}$ which acts trivially on \mathcal{L}_q .*

Proof. This follows immediately from [4, Proposition 9.11, Theorem 9.5]. \square

2.4 Local étale fundamental groups of semistable schemes

Lemma 2.6. *Let (R, \mathfrak{m}) be a complete regular local ring of mixed characteristic p , with algebraically closed residue field, and with a regular system of parameters $(x_1, \dots, x_n, y_1, \dots, y_m)$. Let $S = R\left[\frac{1}{y_1}, \dots, \frac{1}{y_m}\right]$. Then the maximal prime-to- p Galois étale extension S_0 of S is generated by the prime-to- p roots of the y_i .*

Proof. Let W be a Galois étale extension of S of degree prime-to- p . Let T denote the normal closure of R in W . Now for each $j \in \{1, \dots, m\}$ we let R_j and T_j denote the localization of R and T at the prime ideals (y_j) and \mathfrak{y}_j respectively, where \mathfrak{y}_j is some prime ideal of T_j sitting above (y_j) . Now T_j, R_j are discrete valuation rings. Let e_j denote the ramification degree and let $e = \prod_j e_j$. Now let W' denote the compositum of W and the e 'th roots of all the y_i , and let T', T'_j be as before. Then by Abhyankar's lemma [9, Tag 0BRM], T'_j is unramified over $R[y_1^{\frac{1}{e}}, \dots, y_m^{\frac{1}{e}}]_j$ for all j . By the purity of the branch locus [9, Tag 0BMB] it follows that T' is unramified over $R[y_1^{\frac{1}{e}}, \dots, y_m^{\frac{1}{e}}]$. This latter ring is complete with algebraically closed residue field, and so $T' = R[y_1^{\frac{1}{e}}, \dots, y_m^{\frac{1}{e}}]$. The claim is thus proven. \square

2.5 Descending local systems under finite maps

Proposition 2.7. *Let K be a local field with residue field k , and assume k is perfect. Let $\mathcal{Y}/\mathcal{O}_K$ be finite type, separated, flat, quasi-projective, and assume \mathcal{Y} is normal. Let G be a finite group acting on \mathcal{Y} , and let $f : \mathcal{Y} \rightarrow \mathcal{X}$ be the quotient. Assume the action of G is free on $Y = \mathcal{Y}_K$.*

Let \mathcal{L} be a \mathbb{Z}_ℓ -local system on X with torsion free monodromy. If \mathcal{L}_Y extends to $\mathcal{L}_\mathcal{Y}$, then \mathcal{L} extends to \mathcal{X} .

Proof. Let $y \in \mathcal{Y}$ be a closed point, and $I < G$ the stabilizer of $i_y : \text{Spec } k(y) \rightarrow \mathcal{Y}$.

Let $X_\mathcal{L}$ be the pro-scheme corresponding to the monodromy of \mathcal{L} , with $M := \text{Aut}(\mathcal{Y}_\mathcal{L}/\mathcal{Y})$. Let $Y_\mathcal{L} := Y \times_X X_\mathcal{L}$ and $\mathcal{Y}_\mathcal{L}$ the normalization of \mathcal{Y} . Note by normality of \mathcal{Y} that $\phi : \mathcal{Y}_\mathcal{L} \rightarrow \mathcal{Y}$ is Galois. Therefore $M \times G$ acts on $\mathcal{Y}_\mathcal{L}$, and $M \times I$ acts on $\phi^{-1}(\bar{y})$, while M acts simply transitively on this set. Since there are no non-trivial group homomorphisms from I to M it follows that I acts trivially on $\phi^{-1}(\bar{y})$.

Let \mathcal{Y}_n be a subcover corresponding to a finite quotient M_n of M . Now let $z \in \mathcal{Y}_n$ such that $\phi(z) = y$. By the above, I acts trivially on $k(z)$. We claim that \mathcal{O}_z^I is étale over \mathcal{O}_y^I . To check this is enough to pass to the completion. But then $\widehat{\mathcal{O}}_z \cong \widehat{\mathcal{O}}_y \otimes_{W(k(y))} W(k(z))$, and I acts trivially on $W(k(z))$. It follows that $\widehat{\mathcal{O}}_z^I = \widehat{\mathcal{O}}_y^I \otimes_{W(k(y))} W(k(z))$ and the claim follows.

Finally, it follows that \mathcal{Y}_n/G is finite etale over $\mathcal{Y}/G \cong \mathcal{X}$. Thus the inverse limit of the \mathcal{Y}_n/G give an extension of \mathcal{L} to \mathcal{X} as desired. \square

3 Proof of Theorem 2

For some positive integer N divisible by ℓ , we have that S spreads out to \mathcal{S} with compactification $\mathcal{S} \subset \mathcal{S}'$ over $\mathcal{O}_E[\frac{1}{N}]$. We let T be the cover of S corresponding to the level where the representation defined by ${}_{\text{et}}\mathbb{V}_\ell$ is trivial mod ℓ^2 , and we assume T spreads out to a smooth model \mathcal{T} over $\mathcal{O}_E[\frac{1}{N}]$ which is a Galois cover of \mathcal{S} , and let $\pi : \mathcal{T} \rightarrow \mathcal{S}$ be the natural map. Let $Q_{CM} \in T(\overline{\mathbb{Q}})$ be a CM point. By increasing N , we assume further that $\mathcal{T} \subset \mathcal{T}'$ is a log-smooth compactification, that the Zariski-closure of Q_{CM} in \mathcal{T}' is contained in \mathcal{T} , and that the field of definition of Q_{CM} is unramified away from N . Note that by our assumption, the monodromy elements around all the boundary divisors are unipotent.

The following lemma is essentially the same argument as [7, Lemma 4.4]:

Lemma 3.1. *For all finite places $v \nmid N$ of E , we have $S_v^{\ell-pun} = \mathcal{S}(\overline{\mathcal{O}_{E_v}})$.*

Proof. Let $\mathcal{L} := \pi_{\text{et}}^* V_\ell$ be the corresponding ℓ -adic local system on \mathcal{T} . We claim that \mathcal{L} must have trivial monodromy around the special fiber of \mathcal{T} , which follows by Lemma 2.6 since Q_{CM} has everywhere potentially good reduction. Thus, \mathcal{L} must extend to \mathcal{T} . We let $K = E_v$.

Note that $\pi^* \mathcal{S}(\overline{\mathcal{O}_{E_v}}) = \mathcal{T}(\overline{\mathcal{O}_{E_v}})$ and so it suffices to prove that $T_v^{\ell-pun} = \mathcal{T}(\overline{\mathcal{O}_{E_v}})$ where $T_v^{\ell-pun}$ is defined relative to \mathcal{L} . So suppose that $Q \in T_v^{\ell-pun}(\overline{E_v}) \setminus \mathcal{T}(\overline{\mathcal{O}_{E_v}})$ and let $z \in \mathcal{T}'(\overline{\mathbb{F}_v})$ be the reduction of Q at the special fiber. Finally, we denote by \mathcal{T}'_{un} the base change of \mathcal{T}' to the maximal unramified extension K_{un} of K .

Let $x_1, \dots, x_n \in R := \widehat{\mathcal{O}_{\mathcal{T}', z}}$ be a regular sequence cutting out the irreducible components of the boundary divisors of $\mathcal{T}' \setminus \mathcal{T}$ at Q , and let $\sigma_1, \dots, \sigma_n$ be the generators of the natural $\mathbb{Z}_\ell(1)^n$ quotient of $\pi_1(R[\frac{1}{x_1}, \dots, \frac{1}{x_n}])$ corresponding to the complex loops around those same boundary divisors with respect to an identification $\overline{K} \cong \mathbb{C}$.

Identifying the maximal ℓ -power quotient of $\pi_1(\mathcal{O}_{K_{un}})$ with $\mathbb{Z}_\ell(1)$, the natural map

$$\pi_1 \left(R \left[\frac{1}{x_1}, \dots, \frac{1}{x_n} \right] \right) \rightarrow \pi_1(\mathcal{O}_{K_{un}})$$

is naturally identified with

$$(a_1, \dots, a_n) \rightarrow \sum_{i=1}^n a_i v_Q(x_i).$$

Thus, since $Q \in T_v^{\ell-pun}$, we conclude that $\prod_{i=1}^n \sigma_i^{a_i}$ acts trivially on \mathcal{L}_Q . However, this contradicts Lemma 2.5, which completes the proof. \square

Since we may simply glue in integral models at finitely many places, by Corollary 2.3 we have reduced ourselves to proving the following:

Proposition 3.2. *Let $v \nmid \ell$ be a finite place of E . There exists an integral model \mathcal{S} of S_v over \mathcal{O}_{E_v} such that $S_v^{\ell-pun} = \mathcal{S}(\overline{\mathcal{O}_{E_v}})$. Moreover, if \mathcal{L} has torsion-free monodromy, we may choose \mathcal{S} so that \mathcal{L} extends.*

We first reduce to the case where the monodromy of ${}_{\text{et}}\mathbb{V}_\ell$ is trivial mod ℓ (and hence pro- ℓ , torsion free and prime-to- p). To that end, let $f : W \rightarrow S$ denote the ℓ^2 -level cover of S .

Proposition 3.3. *Proposition 3.2 for W implies Proposition 3.2 for S .*

Proof. Assume that Proposition 3.2 is true for W with the model \mathcal{W} . By Lemma 2.1 we may assume \mathcal{W} is projective. Let G be the Galois group of f , and consider the map $h : W \rightarrow \mathcal{W}^G$ given by $h(w)_g = g \circ f(w)$. Finally, let \mathcal{W}_1 denote the normalization of the closure of $h(W)$. Then \mathcal{W}_1 is also an integral model of W , and from construction $W_v^{\ell-pun} = \mathcal{W}_1(\overline{\mathcal{O}_{E_v}})$. Moreover there is a natural group action of G on \mathcal{W}_1 , and the quotient \mathcal{S}_1 is an integral model for S .

Finally, we claim that \mathcal{L} extends to \mathcal{S} . Since \mathcal{S} is normal it is enough to prove this locally. But the image of inertia around every point must be simultaneously torsion and yet contained in the monodromy image of \mathcal{L} , which is torsion-free. Hence the image of inertia is trivial, which means that \mathcal{L} extends. \square

Henceforth we take $K = E_v$, $k = \mathbb{F}_v$, and suppress the subscript v in S_v . By Lemma 2.2 we may pass to the ℓ^2 -level cover, and therefore assume $\mathcal{L} := {}_{\text{et}} \mathbb{V}_\ell$ has unipotent local monodromy. Let \overline{S} denote a log-smooth compactification of S over K . To streamline the proof we introduce the following terminology:

Definition 3.4. Let $\phi : T \rightarrow \overline{S}$ be a proper \overline{S} -scheme, and let \mathcal{T} be a model of T over \mathcal{O}_K . We say that \mathcal{T} is *discerning* if there is a *distinguished* subset $Z \subset \mathcal{T}(\overline{k})$ such that

1. for all $x \in \mathcal{T}(\overline{\mathcal{O}_K})$ such that $\phi(x_{\overline{K}}) \in S(\overline{K})$, the local system $\phi_{x_{\overline{K}}}^* \mathcal{L}$ is potentially unramified iff $x_{\overline{k}} \notin Z$.
2. Z contains the \overline{k} -points of the closure of $\phi^{-1}(\overline{S} \setminus S)$.

Note that if \mathcal{T} is discerning then any \mathcal{T} -scheme is discerning as well. Also, since every \overline{k} -point of \mathcal{T} lifts to a $\overline{\mathcal{O}_K}$ -point of \mathcal{T} whose \overline{K} -point is contained in S , Z is uniquely determined.

Proposition 3.5. Let $\phi : X \rightarrow \overline{S}$ be a proper \overline{S} -scheme which is smooth over K , with a semistable compactification \mathcal{X}' over \mathcal{O}_K .

1. If \mathcal{X}' itself is discerning, then the distinguished set $Z \subset \mathcal{X}'(\overline{k})$ is closed.
2. There exists an admissible modification of \mathcal{X}' which is discerning. Moreover, if the monodromy-image of \mathcal{L} is torsion-free, we may pick this modification such that \mathcal{L} extends to the complement of $\phi^{-1}(\overline{S} \setminus S) \cup \overline{Z}$ in \mathcal{X}' .

Proof. Let $W \subset \mathcal{X}'$ be a (locally closed) boundary stratum contained in the special fiber. Let F_1, \dots, F_n be the irreducible boundary divisors not contained in the special fiber which contain W , G_1, \dots, G_m the irreducible boundary divisors in the special fiber containing W , and H the union of the boundary divisors not containing W . Let $z \in W$ be the generic point. Finally, let $x_1, \dots, x_m, y_1, \dots, y_n$ be a subset of the regular sequence of generators of $R = \hat{\mathcal{O}}_{\mathcal{X}', z}$ cutting out $F_1, \dots, F_m, G_1, \dots, G_n$.

Let $\sigma_1, \dots, \sigma_m, \tau_1, \dots, \tau_n$ be the generators of the natural $\mathbb{Z}_\ell(1)^{m+n}$ quotient of $\pi_1(R[\frac{1}{x_1}, \dots, \frac{1}{x_m}, \frac{1}{y_1}, \dots, \frac{1}{y_n}])$ corresponding to the complex loops around the boundary divisors. Let $Q \in X(\overline{K})$ be a point mapping to S and extending to a point in $\mathcal{X}'(\overline{\mathcal{O}_K})$ whose reduction lands in W . By Lemma 2.6, we have that $\prod_{i=1}^m \sigma_i^{v_Q(x_i)} \cdot \prod_{j=1}^n \tau_j^{v_Q(y_j)}$ acts trivially on ${}_{\text{et}} \mathbb{V}_{\ell, z}$ iff $Q \in S^{\ell-pun}$.

Let $\phi : \pi_1(R[\frac{1}{x_1}, \dots, \frac{1}{x_m}, \frac{1}{y_1}, \dots, \frac{1}{y_n}]) \rightarrow \text{GL}(\mathcal{L}_z)$ denote the monodromy map. Since the image of ϕ is 1 mod ℓ and abelian, we may take logarithms and conclude that $Q \in S^{\ell-pun}$ iff

$$\sum_{i=1}^m v_Q(x_i) \log \phi(\sigma_i) + \sum_{j=1}^n v_Q(y_j) \log \phi(\tau_j) = 0.$$

For part 1, note that for $z \in W(\overline{k})$ we may pick a lift Q with any positive integral values of the $v_Q(x_i), v_Q(y_j)$. Therefore to be a discerning model, it must be true that either all the $\log \phi(\sigma_i)$ are 0, or that there are no linear relations between them with $\mathbb{Q}_{>0}$ -coefficients. Moreover, this must be true for every stratum W . If this is satisfied, Z is simply the union of the $G_i(k)$ for which the corresponding element $\log \phi(\sigma_i)$ vanishes, and is therefore closed.

We now prove part 2. What follows is a version of [3, Proposition 3.5]. Consider the set P of non-negative rational solutions $(a, b) \in \mathbb{Q}_{\geq 0}^{m+n}$ to

$$\sum_{i=1}^m a_i \log \phi(\sigma_i) + \sum_{j=1}^n b_j \log \phi(\tau_j) = 0$$

According to Lemma 2.5, the intersection of P with the sub-cone $\mathbb{Q}_{\geq 0}^m \times 0$ corresponding to F_1, \dots, F_m is 0. We may therefore find an integral subdivision \mathcal{F} of the standard fan on $\mathbb{R}_{\geq 0}^{m+n}$ for which P is a union of cones and which does not refine the standard fan on the sub-cone $\mathbb{R}_{\geq 0}^m \times 0$. If \overline{W} is the closure of W , then this yields a $\mathcal{X}' \setminus \overline{W}$ admissible monomial modification $\phi_W : \mathcal{X}_W'' \rightarrow \mathcal{X}'$. For the point $Q \in X(\overline{K})$ specializing to W as before, we see that $Q \in S^{\ell-pun}$ iff its specialization in the special fiber of \mathcal{X}_W'' is contained in at least one divisor corresponding to a one-dimensional cone of \mathcal{F} not contained in P . Let $Z_W \subset \mathcal{X}_W''(\overline{k})$ be the set of \overline{k} -points of the special fiber of the union of these divisors, and note that the special fiber of the strict transform of each F_j is contained in Z_W .

Let $\mathcal{X}'' \rightarrow \mathcal{X}'$ be an admissible modification with \mathcal{X}'' normal which factors as $\mathcal{X}'' \xrightarrow{\pi_W} \mathcal{X}_W'' \rightarrow \mathcal{X}'$ for each W . Then it follows that \mathcal{X}'' is discerning with distinguished set $Z := \bigcup_W \pi_W^{-1}(Z_W)$.

It remains to show that \mathcal{L} extends if the monodromy is torsion-free. Since \mathcal{X}'' is normal, it suffices to show this locally. Note that each fan \mathcal{F} may be chosen to be simplicial, so that \mathcal{X}_W'' is semistable, in which case it is clear from the construction that \mathcal{L} extends to $\phi_W^{-1}(W)$. □

Corollary 3.6. *If \mathcal{T} is discerning, the distinguished set $Z \subset \mathcal{T}(\overline{k})$ is closed.*

Proof. By [2, Thm 4.5], there is a proper morphism $f : X \rightarrow \overline{S}$ from a smooth proper scheme X/K which admits a semistable compactification \mathcal{X}' . By 3.5, the set $f^{-1}(Z)$ is closed. Since f is proper it follows that Z is closed. □

Given a discerning model \mathcal{T} of $\phi : T \rightarrow \overline{S}$, we define \mathcal{T}° to be the complement of $\phi^{-1}(\overline{S} \setminus S) \cup \overline{Z}$.

Proposition 3.7. *There exist finitely many discerning partial compactifications $\mathcal{S}_1, \dots, \mathcal{S}_m$ of \overline{S} such that every \overline{K} -point of \overline{S} extends to an \mathcal{O}_K -point of \mathcal{S}_i for at least some i . Moreover, if the monodromy-image of \mathcal{L} is torsion-free, we may pick the \mathcal{S}_i such that \mathcal{L} extends to \mathcal{S}_i° .*

Proof.

Step 1. By [2, Thm 4.5], for any finite set of points $F \subset \overline{S}(\overline{K})$ there is a proper morphism $f : X \rightarrow \overline{S}$ from a smooth proper scheme X/K which is finite étale over a neighborhood of F such that X admits a semistable compactification \mathcal{X}'_i over $\overline{\mathcal{O}_K}$. It follows by Noetherian induction that there is a finite set $\{X_i\}_{i \in I}$ of such \overline{S} -schemes such that $f_i : X_i \rightarrow \overline{S}$ is finite étale over an open $V_i \subset \overline{S}$ and $\{V_i\}_{i \in I}$ is an open cover of \overline{S} .

Step 2. For each $i \in I$, let $\mathcal{X}'_{i,0}$ denote a discerning admissible modification of \mathcal{X}'_i , using Proposition 3.5. By Lemma 2.2, for each i there is a $f_i^{-1}(V_i)$ -admissible modification $\mathcal{X}''_i \rightarrow \mathcal{X}'_{i,0}$, a projective compactification \mathcal{Y}_i of V_i , and a quotient $\mathcal{X}''_i \rightarrow \mathcal{Y}_i$ by a finite group G_i which is étale on $f_i^{-1}(V_i)$. The \mathcal{X}''_i are also discerning. After taking normalizations we may assume \mathcal{X}''_i and \mathcal{Y}_i are normal. Since the morphism $\mathcal{X}''_i \rightarrow \overline{S}$ factors through $\mathcal{X}''_i \rightarrow \mathcal{Y}_i$ on the level of function fields, it follows it factors through a V_i -admissible modification $\pi_i : Y_i \rightarrow \overline{S}$. Thus, \mathcal{Y}_i is discerning. Let $D_i \subset \overline{S}$ be the open subset over which π_i is not an isomorphism; since $V_i \subset \overline{S} \setminus D_i$, we have $\bigcap_{i \in I} D_i = \emptyset$.

Step 3. Let $U_i \subset \overline{S}^{\text{an}}$ be neighborhoods of the D_i^{an} which have no intersection. By Lemma 2.4, there is an admissible modification $\mathcal{Y}'_i \rightarrow \mathcal{Y}_i$ such that, setting $\pi'_i : Y'_i \rightarrow \overline{S}$ to be the natural map, the complement of $\pi'^{-1}_i(U_i)$ is contained in the rigid fiber of $\mathcal{Y}'_i \setminus \overline{\pi'^{-1}_i(D_i)}$. Let $\mathcal{X}^\dagger_i \rightarrow \mathcal{Y}'_i$ be the normalization of the reduction of the base-change of $\mathcal{X}''_i \rightarrow \mathcal{Y}_i$. Then \mathcal{Y}'_i is the quotient of \mathcal{X}^\dagger_i by G_i since both are normal. We set $\mathcal{S}_i := \overline{S} \cup_{\overline{S} \setminus D_i} \mathcal{Y}'_i \setminus \overline{\pi'^{-1}_i(D_i)}$. By construction, every \mathcal{S}_i is discerning, since every integral point of \mathcal{S}_i is an

integral point of $\mathcal{Y}_i \backslash \overline{\pi_i'^{-1}(D_i)}$. Moreover, every \bar{K} -point of \bar{S} is contained in the complement of one of the U_i , hence extends to an \mathcal{O}_K point of \mathcal{S}_i .

Step 4. Finally, if the monodromy is torsion-free, then by Proposition 3.5 we may pick $\mathcal{X}'_{i,0}$ such that \mathcal{L} extends to $(\mathcal{X}'_{i,0})^\circ$ and therefore $(\mathcal{X}''_i)^\circ$ and $(\mathcal{X}_i^\dagger)^\circ$, in which case it'll extend to each of the $(\mathcal{Y}_i)^\circ \backslash \overline{\pi_i'^{-1}(D_i)}$ by Proposition 2.7, and finally to \mathcal{S}_i° by construction. This completes the proof. \square

We now complete the proof of Proposition 3.2. Let $Z_i \subset \mathcal{S}_i$ denote the closed subschemes as in Definition 3.4. By Nagata's compactification theorem we may embed each \mathcal{S}_i in a proper \mathcal{S}'_i , which is therefore a proper model of \bar{S} .

Let \mathcal{S}_0 be a normal model of \bar{S} with admissible modifications $g_i : \mathcal{S}_0 \rightarrow \mathcal{S}'_i$ for each i . We claim that \mathcal{S}_0 is a discerning. Define $Z_0 \subset \mathcal{S}_0$ by $Z_0 := \bigcup_{i \in I} g_i^{-1}(Z_i)$. Clearly Z_0 contains $\bar{S} \backslash S$. A \bar{K} -point x of S extends to an integral point of \mathcal{S}_0 , and the extension specializes to Z_0 if and only if x extends and in some \mathcal{S}_i and specializes to Z_i , which is the case if and only if it is not potentially unramified.

By Definition 3.4 and Corollary 3.6, the set $\bar{Z}_0 \cup (\bar{S} \backslash S)$ is closed. By taking \mathcal{S} to be the complement of $\bar{Z}_0 \cup (\bar{S} \backslash S)$ in \mathcal{S}_0 , we obtain the desired model of S .

Finally, observe by construction and Proposition 3.7 that if the monodromy is torsion-free, then \mathcal{L} extends locally across every point of \mathcal{S} . Since \mathcal{S} is normal this extension is canonical, and hence \mathcal{L} extends to all of \mathcal{S} . This completes the proof of Proposition 3.2, and thus Theorem 2. \square

4 Proof of Theorem 1

By [6, §12] all CM points lie in $S_v^{\ell-pun}$ for all $v \nmid \ell$. Let ℓ_1 be an odd rational prime inert in E . Let $\mathcal{S}_1, \mathcal{S}_2$ be the integral models in Theorem 2 corresponding to $\ell = \ell_1, \ell_2$ respectively. We may simply form \mathcal{S} by gluing $\mathcal{S}_1 \times_{\mathcal{O}_E} \mathcal{O}_E[\frac{1}{\ell_1}]$ with $\mathcal{S}_2 \times_{\mathcal{O}_E} \mathcal{O}_{E,(\ell_1)}$ along S , and the theorem is proved. \square

References

- [1] Vladimir G Berkovich. *Spectral theory and analytic geometry over non-Archimedean fields*. Number 33. American Mathematical Soc., 2012.
- [2] B. Bhatt and A. Snowden. Refined alterations. *preprint*, 2017.
- [3] Y. Brunebarbe. Existence of the Shafarevich morphism for semisimple local systems on quasi-projective varieties. [arXiv:2305.09741](https://arxiv.org/abs/2305.09741), 2023.
- [4] Phillip A Griffiths. Periods of integrals on algebraic manifolds, iii (some global differential-geometric properties of the period mapping). *Publications mathématiques de l'IHÉS*, 38:125–180, 1970.
- [5] James S Milne. Introduction to shimura varieties. *Harmonic analysis, the trace formula, and Shimura varieties*, 4:265–378, 2005.
- [6] James Stuart Milne. Shimura varieties and moduli. *arXiv:1105.0887*, 2011.
- [7] J. Pila, A. Shankar, J. Tsimerman, H. Esnault, and M. Groechenig. Canonical heights on Shimura varieties and the André–Oort conjecture. *arXiv:2109.08788*, 2021.
- [8] Jean-Pierre Serre and John Tate. Good reduction of abelian varieties. *Annals of Mathematics*, 88(3):492–517, 1968.
- [9] The Stacks Project Authors. The stacks project. <https://stacks.math.columbia.edu/>.

- [10] Adrian Vasiu. Integral canonical models of Shimura varieties of preabelian type. *Asian J. Math.*, 3(2):401–518, 1999.